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PAGE 2/0

Docket No. 1279-325-10014591

# **Declaration For Patent Application**

## **English Language Declaration**

As a below named inventor, I hereby declare that;

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, listed below) of the subject matter which is claimed and for

SOLUBLE TETRAHEDRAL C	OMPOUNDS FOR	use in electroluminescent de	, v i⊂enj
the specification of which			
(check one)			
is attached hereto.			or PCT International
was filed on	as United States Application No. or PCT Internat		OFF HITECHERIONAL
Application Number			
and was amended on			
		(if applicable)	
including the claims, as an	nended by any an	derstand the contents of the above in nendment referred to above.	•
I acknowledge the duty to	disclose to the L	United States Patent and Trademark	Onice all information
known to me to be mate Section 1.56.	nal to patentable	ity as defined at 11de 277 0000	
known to me to be mate Section 1.56.  I hereby claim foreign pr S ction 365(b) of any for any PCT International apprenticulars.	inal to patentable iority benefits un eign application(solication which desolication the below the international a	ity as defined in Title 37, Code of order Title 35, United States Code, s) for patent or inventor's certificate signated at least one country other to by checking the box, any foreign application having a filing date before	Section 119(a)-(d) o , or Section 365(a) o han the United States polication for patent o
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known to me to be mate Section 1.56.  I hereby claim foreign processes of the section 365(b) of any for any PCT International applicated below and have also inventor's certificate or PC in which priority is claimed.	inal to patentable in the particular in the particular in the particular in the particular international and in the particular international and in the particular in the part	nder Title 35, United States Code, s) for patent or inventor's certificate signated at least one country other to by checking the box, any foreign application having a filing date before (Day/Month/Year Filed)	Section 119(a)-(d) of the control of the United States of the application of the applicat
known to me to be mate Section 1.56.  I hereby claim foreign processes of the section 365(b) of any for any PCT International applicated below and have also inventor's certificate or PC in which priority is claimed.  Prior Foreign Application(	iority benefits un eign application(s lication which de didentified below T International a d.	nder Title 35, United States Code, s) for patent or inventor's certificate signated at least one country other to, by checking the box, any foreign application having a filing date before	Section 119(a)-(d) of the control of the United States of the application of the applicat

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Patent and Tracement Office-U.S. DEPARTMENT OF COM

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FROM : POLYMER INSTITUTE UCSB



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60/201,720	May 3, 2000	
(Application Serial No.)	(Filing Date)	
		•
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
nsofar as the subject matter of United States or PCT internation U.S.C. Section 112, I acknowled Office all information known to	each of the claims of this app hal application in the manner pl ige the duty to disclose to the L me to be material to patenta able between the filing date of t	the United States, listed below and lication is not disclosed in the priorovided by the first paragraph of 3 Inited States Patent and Trademar billty as defined in Title 37, CFI the prior application and the nations
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)  I hereby declare that all stater statements made on information were made with the knowledge the primorisonment, or both, units or both or	(Filing Date)  ments made herein of my ow and belief are believed to be truithat willful false statements and der Section 1001 of Title 18 of the statements and the section 1001 of Title 18 of the statements.	(patented, pending, abandoned)  (Status)
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rul name of sole or first inventor Guillermo C. Bazan	
sole or first inventor's signature	May 3 /2001
Smi bogan	May 3 /2001
Residence (068 Cheltenbam Road, Santa Barbara, CA 93105	<u> </u>
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Canada	
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Full name of second inventor, if any Shujun Wang	
Second inventor's signature	Date
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Citizenship China	
Post Office Address 199 Babbitt Road, Apt. 10A. Bedford Hills, NY 10507	
Full name of third inventor, if any Matthew R. Robinson Third inventors signature  MATTER  TO MATTE	5/3/01
Residence 4711 Glenbrook St., Santa Barbara, CA 93110	
Cktzenship USA	
Post Office Address 4711 Glenbrook St., Santa Barbara, CA 93110	
Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

FAX NO. : 805 893 4755 May. 03 2001 02:35PM P5

FROM : POLYMER INSTITUTE UCSB

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Form PTO-58-01 (9-95) (Modified)

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PAGE 2/

Docket No. 1279-325-10014591

# **Declaration For Patent Application**

### **Fnglish Language Declaration**

As a below named invent	r, I hereby declare that:		
My residence, post office	address and citizenship are as	stated below next to my	name,
first and joint inventor (if it which a patent is sought or	first and sole inventor (if only o dural names are listed below) o n the invention entitled	of the subject matter will	CT IS CIAIMED AND TO
SOLUBLE TETRAHEDRAI	COMPOUNDS FOR USE IN ELEC	CTROLUMINESCENT DE	EVICES
the specification of which			
(check one)			
☐ is attached hereto.☐ was filed on	as United	l States Application No.	or PCT International
Application Number			
and was amended or			
	(If a	applicable)	
including the claims, as a	reviewed and understand the mended by any amendment ref	ferred to above.	
I calmowledge the duty i	o disclose to the United States erial to patentability as define	Patent and Trademark	Office all information Federal Regulations,
I acknowledge the duty to known to me to be made Section 1.56.  I hereby claim foreign section 365(b) of any foreign any PCT International applicated below and have at light of the section and have at light of the section.	o disclose to the United States erial to patentability as define priority benefits under Title 35 reign application(s) for patent plication which designated at less identified below, by checking CT International application has	Patent and Trademark d in Title 37, Code of United States Code, or inventor's certificate east one country other to the box, any foreign a	Section 119(a)-(d) or or Section 365(a) of han the United States, opplication for patent or
I acknowledge the duty is known to me to be mad Section 1.56.  I hereby claim foreign Section 365(b) of any foreign any PCT International and listed below and have all inventor's certificate or F	o disclose to the United States erial to patentability as define priority benefits under Title 35 reign application(s) for patent plication which designated at less identified below, by checking CT International application hared.	Patent and Trademark d in Title 37, Code of United States Code, or inventor's certificate east one country other to the box, any foreign a	Section 119(a)-(d) or or Section 365(a) of han the United States, opplication for patent or
I acknowledge the duty to known to me to be made Section 1.56.  I hereby claim foreign   Section 365(b) of any foreign   Section 365(b) and foreign   Section 365(b) of any foreign   Section	o disclose to the United States erial to patentability as define priority benefits under Title 35 reign application(s) for patent plication which designated at less identified below, by checking CT International application hared.	Patent and Trademark d in Title 37, Code of United States Code, or inventor's certificate east one country other to the box, any foreign a ving a filing date before	Section 119(a)-(d) or, or Section 365(a) of han the United States, oplication for patent or that of the application
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I acknowledge the duty to known to me to be mad Section 1.56.  I hereby claim foreign Section 365(b) of any for any PCT International applicated below and have all inventor's certificate or Foreign Application	o disclose to the United States erial to patentability as define priority benefits under Title 35 reign application(s) for patent plication which designated at less identified below, by checking CT International application had ed.	Patent and Trademark d in Title 37, Code of United States Code, or inventor's certificate east one country other to the box, any foreign a ving a filing date before	Section 119(a)-(d) or, or Section 365(a) of han the United States, oplication for patent or that of the application  Priority Not Claimed

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I hereby claim the benef application(s) listed below:	it under 35 U.S.C. Section 119(e)	of any United States provisional
60/201,720	May 3, 2000	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
U.S.C. Section 112, I ack	rnational application in the manner pro nowledge the duty to disclose to the U wn to me to be material to patental e available between the filing date of the date of this application:	nited States Patent and Trademark oility as defined in Title 37, CFR
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.	(Filing Date)	(Status) (patented, pending, abandoned)
statements made on infor- were made with the know fine or imprisonment, or be	statements made herein of my own mation and belief are believed to be tru- ledge that willful false statements and oth, under Section 1001 of Title 18 of the y jeopardize the validity of the application	e; and further that these statements the like so made are punishable by ne United States Code and that such

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ID: PAGE 4/5 Page 3 of 3

Guillerm C. Bazan	Asta
Sole or first inventor's alguature	Date
Residence 1068 Cheltenham Road, Santa Barbara, CA 93105	
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Post Office Address 1068 Cheltenham Road, Santa Barbara, CA 93105	
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Second inventor's signature  Shupin Wang  Shupin Wang	5-3-0/
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Citizenship China	
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Residence 4711 Glenbrook St., Santa Barbara, CA 93110	
Citizenship USA	
Post Office Address 4711 Glenbrook St., Santa Barbara, CA 93110	
Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Clitzenship	
Post Office Address	

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1279-325/10014591

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Guillermo C. Bazan et al.

Serial No.:

N/A

Filed:

Herewith

For:

SOLUBLE TETRAHEDRAL COMPOUNDS FOR USE IN

ELECTROLUMINESCENT DEVICES

#### POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER RULE 3.71

Assistant. Commissioner for Patents Washington, D. C. 20231

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

Attomey	Registration No.
Billy A. Robbins	18,313
Robert Berliner	20,121
M. John Carson	25,090
John M. May	26,200
Margaret Churchill	39,944
Terri Sale	45,066
Abraham Seidman	41,300

all attorneys and/or registered patent agents of the law firm of Fulbright & Jaworski LLP, 865 South Figueroa Street, Suite 2900, Los Angeles, California 90017 as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

An ass	ignment of the entire	interest in the above-identified	subject application:
[]	was recorded on	aı reel/frame _	

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is submitted herewith for recording. 

Please send all telephone calls and all correspondence to:

Margaret A. Churchill, Ph.D. Fulbright & Jaworski LLP 865 South Figueroa Street, 29th Floor Los Angeles, California 90017

Telephone (213) 892-9206

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

SIGNATURE:

TYPED NAME:

Linda S. Stevenson

TITLE:

IN

Manager, Patent Prosecution

ADDRESS:

OFFICE OF TECHNOLOGY TRANSFER

1111 Franklin Street, 5th Floor Oakland, CA 94607-5200

UC Case No. 2000-395-2.